

# ISA Transfer Request Form

for Modular ISA and Wrap ISA



JHAY0185

## Application guide

Please complete this form if you wish to transfer an existing ISA into your James Hay Modular ISA or Wrap ISA.

You will need to complete a separate 'ISA Transfer Request Form' for each ISA manager from whom you are transferring an ISA.

If you wish to transfer any of your existing ISA assets in-specie, please check that the investments you wish to re-register can be held in your Modular ISA or Wrap ISA. You can do this by referring to the relevant Permitted Investments List for your product, available on our website [www.jameshay.co.uk](http://www.jameshay.co.uk), or by speaking to your financial adviser.

For details of the charges applicable to re-registering investments, please refer to the relevant product's Charges Schedule.

**Please complete this form in BLOCK CAPITALS and black ink and return it to James Hay Partnership, Dunn's House, St. Paul's Road, Salisbury, SP2 7BF.**

If you require any assistance, please call your Service Executive Team or our general enquiry number 03455 212 414.

## 1 Personal details

Applicant to complete

Title

Forename(s)

Surname

Date of birth







National Insurance number











Address

  
  
  


Postcode

Type of ISA product you have with James Hay:

Modular ISA  Wrap ISA

Modular ISA or Wrap ISA number

## 2 Details of existing ISA manager

Applicant to complete

ISA manager name

ISA manager address

  
  
  


Postcode

Account number(s) of ISA to be transferred

  
  
  
  


## 3 Transfer details

Applicant to complete

I wish to transfer in a:

Cash ISA

Stock and Shares ISA

**Important note: Your James Hay ISA is defined as a Stocks and Shares ISA under ISA regulations. If you are transferring a Stocks and Shares ISA from another provider that contains subscriptions for the current tax year, you will not be able to subscribe to your James Hay ISA until the transfer has fully completed.**

**This may impact your ability to fully utilise your annual ISA allowance if you are transferring current year Stocks and Shares ISA subscriptions to James Hay close to the end of the tax year.**

Please tick as appropriate:

Please sell the assets held in my existing ISA and transfer the cash proceeds to my James Hay ISA

**OR**

Please arrange for the re-registration of assets held in my existing ISA to my James Hay ISA<sup>1</sup>

If it is not possible to re-register my existing ISA investments, please arrange for these to be sold and transferred in cash

Yes  No

<sup>1</sup> Any re-registration of investments into your James Hay ISA will be subject to your existing ISA manager allowing this type of transfer.

Amount to be transferred

£ **OR**Full amount of the ISA 

Current ISA value

£ 

Is any of the amount to be transferred from your current year's subscriptions?

Yes  No If **Yes**, please state the amount£ **For in-specie transfers only**

Upon receipt of this form, we will request a current valuation from your existing ISA provider.

Please note that if any asset being transferred is available within the Investment Centre, then it will be re-registered to the Investment Centre.

Any collective investment that is not available in the Investment Centre will be held directly within the ISA (where permissible).

If you are transferring shares, you will need to open a sharedealing account provided by a stockbroker approved by us for use in the ISA. Shares must be re-registered into the nominee chosen by the stockbroker.

## 5 Authority and instruction to existing ISA manager

I hereby authorise you to transfer the proceeds of the above ISA (where applicable) to James Hay Wrap Managers Limited.

I hereby authorise you to transfer the investments of the above ISA (where applicable) to James Hay Wrap Nominee Company Limited or, where a sharedealing account is opened with a stockbroker, to the nominee that the stockbroker decides to use.

I hereby authorise you to provide James Hay Partnership with all such relevant information relating to my existing ISA, including but not limited to, transaction and dividend histories and details of the current portfolio and its value.

I instruct you to pay out any distribution and/or tax credits directly to myself, if they are received after the date of the transfer.

Signature

Date

Print name

We are able to provide literature in alternative formats. For a Braille, large print, audio or E-text version of this document call us on 03455 212 414 (or via the Typetalk service on 18001 03455 212 414).

James Hay Partnership is the trading name of James Hay Services Limited (JHS) (registered in Jersey number 77318); IPS Pensions Limited (IPS) (registered in England number 2601833); James Hay Administration Company Limited (JHAC) (registered in England number 4068398); James Hay Pension Trustees Limited (JHPT) (registered in England number 1435887); James Hay Wrap Managers Limited (JHWM) (registered in England number 4773695); James Hay Wrap Nominee Company Limited (JHWNC) (registered in England number 7259308); PAL Trustees Limited (PAL) (registered in England number 1666419); Santhouse Pensioner Trustee Company Limited (SPTCL) (registered in England number 1670940); Sarum Trustees Limited (SarumTL) (registered in England number 1003681); Sealgrove Trustees Limited (STL) (registered in England number 1444964); The IPS Partnership Plc (IPS Plc) (registered in England number 1458445); Union Pension Trustees Limited (UPT) (registered in England number 2634371) and Union Pensions Trustees (London) Limited (UPTL) (registered in England number 1739546). JHS has its registered office at 2nd Floor, Gaspé House, 66-72 Esplanade, St Helier, Jersey, JE1 1GH. IPS, JHAC, JHPT, JHWM, JHWNC, SPTCL, SarumTL, IPS Plc, PAL, STL, UPT and UPTL have their registered office at Dunn's House, St Paul's Road, Salisbury, SP2 7BF. JHAC, JHWM, IPS and IPS Plc are authorised and regulated by the Financial Conduct Authority. The provision of Small Self Administered Schemes (SSAS) and trustee and/or administration services for SSAS are not regulated by the FCA. Therefore, IPS and IPS Plc are not regulated by the FCA in relation to these schemes or services. (04/19)